



May 1998

## LM3420-4.2, -8.2, -8.4, -12.6, -16.8 Lithium-Ion Battery Charge Controller

## General Description

The LM3420 series of controllers are monolithic integrated circuits designed for charging and end-of-charge control for Lithium-Ion rechargeable batteries. The LM3420 is available in five fixed voltage versions for one through four cell charger applications (4.2V, 8.2V/8.4V, 12.6V and 16.8V respectively).

Included in a very small package is an (internally compensated) op amp, a bandgap reference, an NPN output transistor, and voltage setting resistors. The amplifier's inverting input is externally accessible for loop frequency compensation. The output is an open-emitter NPN transistor capable of driving up to 15 mA of output current into external circuitry.

A trimmed precision bandgap reference utilizes temperature drift curvature correction for excellent voltage stability over the operating temperature range. Available with an initial tolerance of 0.5% for the A grade version, and 1% for the standard version, the LM3420 allows for precision end-of-charge control for Lithium-Ion rechargeable batteries.

The LM3420 is available in a sub-miniature 5-lead SOT23-5 surface mount package thus allowing very compact designs.

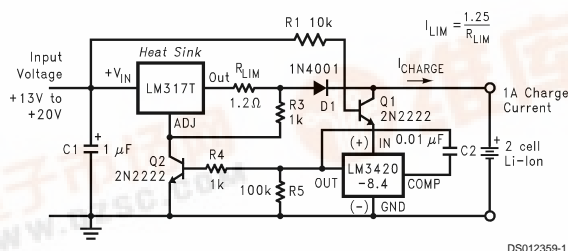
## Features

- Voltage options for charging 1, 2, 3 or 4 cells
- Tiny SOT23-5 package
- Precision (0.5%) end-of-charge control
- Drive capability for external power stage
- Low quiescent current. 85  $\mu$ A (typ.)

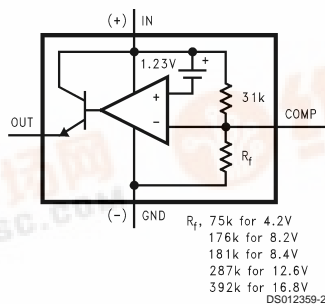
## Applications

- Lithium-Ion battery charging
- Suitable for linear and switching regulator charger designs

## Typical Application and Functional Diagram



### Typical Constant Current/Constant Voltage Li-Ion Battery Charger



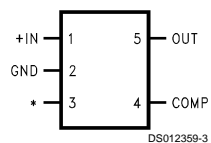
### LM3420 Functional Diagram

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## Connection Diagrams and Order Information

### 5-Lead Small Outline Package (M5)



\*No internal connection, but should be soldered to PC board for best heat transfer.

### Top View

### Actual Size



**For Ordering Information**  
**See Figure 1 in this Data Sheet**  
**See NS Package Number MA05B**

## Absolute Maximum Ratings (Note 1)

If Military/Aerospace specified devices are required, please contact the National Semiconductor Sales Office/Distributors for availability and specifications.

Input Voltage $V_{(IN)}$	20V
Output Current	20 mA
Junction Temperature	150°C
Storage Temperature	-65°C to +150°C
Lead Temperature	
Vapor Phase (60 seconds)	+215°C
Infrared (15 seconds)	+220°C
Power Dissipation ( $T_A = 25^\circ\text{C}$ ) (Note 2)	300 mW

## ESD Susceptibility (Note 3)

Human Body Model 1500V  
See AN-450 "Surface Mounting Methods and Their Effect on Product Reliability" for methods on soldering surface-mount devices.

## Operating Ratings (Notes 1, 2)

Ambient Temperature Range	-40°C ≤ $T_A$ ≤ +85°C
Junction Temperature Range	-40°C ≤ $T_J$ ≤ +125°C
Output Current	15 mA

## LM3420-4.2 Electrical Characteristics

Specifications with standard type face are for  $T_J = 25^\circ\text{C}$ , and those with **boldface type** apply over full Operating Temperature Range. Unless otherwise specified,  $V_{(IN)} = V_{REG}$ ,  $V_{OUT} = 1.5V$ .

Symbol	Parameter	Conditions	Typical (Note 4)	LM3420A-4.2 Limit (Note 5)	LM3420-4.2 Limit (Note 5)	Units (Limits)
$V_{REG}$	Regulation Voltage	$I_{OUT} = 1 \text{ mA}$	4.2	4.221/ <b>4.242</b> 4.179/ <b>4.158</b>	4.242/ <b>4.284</b> 4.158/ <b>4.116</b>	V V(max) V(min)
	Regulation Voltage Tolerance	$I_{OUT} = 1 \text{ mA}$		±0.5/±1	±1/±2	%(max)
$I_q$	Quiescent Current	$I_{OUT} = 1 \text{ mA}$	85	110/ <b>115</b>	125/ <b>150</b>	μA μA(max)
$G_m$	Transconductance $\Delta I_{OUT}/\Delta V_{REG}$	$20 \mu\text{A} \leq I_{OUT} \leq 1 \text{ mA}$ $V_{OUT} = 2V$	3.3	1.3/ <b>0.75</b>	1.0/ <b>0.50</b>	mA/mV mA/mV(min)
		$1 \text{ mA} \leq I_{OUT} \leq 15 \text{ mA}$ $V_{OUT} = 2V$	6.0	3.0/ <b>1.5</b>	2.5/ <b>1.4</b>	mA/mV mA/mV(min)
$A_V$	Voltage Gain $\Delta V_{OUT}/\Delta V_{REG}$	$1V \leq V_{OUT} \leq V_{REG} - 1.2V$ ( <b>-1.3</b> ) $R_L = 200\Omega$ (Note 6)	1000	550/ <b>250</b>	450/ <b>200</b>	V/V V/V(min)
		$1V \leq V_{OUT} \leq V_{REG} - 1.2V$ ( <b>-1.3</b> ) $R_L = 2 \text{ k}\Omega$	3500	1500/ <b>900</b>	1000/ <b>700</b>	V/V V/V(min)
$V_{SAT}$	Output Saturation (Note 7)	$V_{(IN)} = V_{REG} + 100 \text{ mV}$ $I_{OUT} = 15 \text{ mA}$	1.0	1.2/ <b>1.3</b>	1.2/ <b>1.3</b>	V V(max)
$I_L$	Output Leakage Current	$V_{(IN)} = V_{REG} - 100 \text{ mV}$ $V_{OUT} = 0V$	0.1	0.5/ <b>1.0</b>	0.5/ <b>1.0</b>	μA μA(max)
$R_f$	Internal Feedback Resistor (Note 8)		75	94 56	94 56	kΩ kΩ(max) kΩ(min)
$E_n$	Output Noise Voltage	$I_{OUT} = 1 \text{ mA}$ , $10 \text{ Hz} \leq f \leq 10 \text{ kHz}$	70			μV <sub>RMS</sub>

## LM3420-8.2 Electrical Characteristics

Specifications with standard type face are for  $T_J = 25^\circ\text{C}$ , and those with **boldface type** apply over **full Operating Temperature Range**. Unless otherwise specified,  $V(\text{IN}) = V_{\text{REG}}$ ,  $V_{\text{OUT}} = 1.5\text{V}$ .

Symbol	Parameter	Conditions	Typical (Note 4)	LM3420A-8.2 Limit (Note 5)	LM3420-8.2 Limit (Note 5)	Units (Limits)
$V_{\text{REG}}$	Regulation Voltage	$I_{\text{OUT}} = 1\text{ mA}$	8.2	8.241/ <b>8.282</b> 8.159/ <b>8.118</b>	8.282/ <b>8.364</b> 8.118/ <b>8.036</b>	V V(max) V(min)
	Regulation Voltage Tolerance	$I_{\text{OUT}} = 1\text{ mA}$		$\pm 0.5/\pm 1$	$\pm 1/\pm 2$	%(max)
$I_q$	Quiescent Current	$I_{\text{OUT}} = 1\text{ mA}$	85	110/ <b>115</b>	125/ <b>150</b>	$\mu\text{A}$ $\mu\text{A}(\text{max})$
$G_m$	Transconductance $\Delta I_{\text{OUT}}/\Delta V_{\text{REG}}$	$20\text{ }\mu\text{A} \leq I_{\text{OUT}} \leq 1\text{ mA}$ $V_{\text{OUT}} = 6\text{V}$	3.3	1.3/ <b>0.75</b>	1.0/ <b>0.50</b>	$\text{mA/mV}$ $\text{mA/mV}(\text{min})$
		$1\text{ mA} \leq I_{\text{OUT}} \leq 15\text{ mA}$ $V_{\text{OUT}} = 6\text{V}$	6.0	3.0/ <b>1.5</b>	2.5/ <b>1.4</b>	$\text{mA/mV}$ $\text{mA/mV}(\text{min})$
$A_v$	Voltage Gain $\Delta V_{\text{OUT}}/\Delta V_{\text{REG}}$	$1\text{V} \leq V_{\text{OUT}} \leq V_{\text{REG}} - 1.2\text{V}$ ( <b>-1.3</b> ) $R_L = 470\Omega$ (Note 6)	1000	550/ <b>250</b>	450/ <b>200</b>	V/V V/V(min)
		$1\text{V} \leq V_{\text{OUT}} \leq V_{\text{REG}} - 1.2\text{V}$ ( <b>-1.3</b> ) $R_L = 5\text{ k}\Omega$	3500	1500/ <b>900</b>	1000/ <b>700</b>	V/V V/V(min)
$V_{\text{SAT}}$	Output Saturation (Note 7)	$V(\text{IN}) = V_{\text{REG}} + 100\text{ mV}$ $I_{\text{OUT}} = 15\text{ mA}$	1.0	1.2/ <b>1.3</b>	1.2/ <b>1.3</b>	V V(max)
$I_L$	Output Leakage Current	$V(\text{IN}) = V_{\text{REG}} - 100\text{ mV}$ $V_{\text{OUT}} = 0\text{V}$	0.1	0.5/ <b>1.0</b>	0.5/ <b>1.0</b>	$\mu\text{A}$ $\mu\text{A}(\text{max})$
$R_f$	Internal Feedback Resistor (Note 8)		176	220 132	220 132	$\text{k}\Omega$ $\text{k}\Omega(\text{max})$ $\text{k}\Omega(\text{min})$
$E_n$	Output Noise Voltage	$I_{\text{OUT}} = 1\text{ mA}$ , $10\text{ Hz} \leq f \leq 10\text{ kHz}$	140			$\mu\text{V}_{\text{RMS}}$

## LM3420-8.4 Electrical Characteristics

Specifications with standard type face are for  $T_J = 25^\circ\text{C}$ , and those with **boldface type** apply over **full Operating Temperature Range**. Unless otherwise specified,  $V(\text{IN}) = V_{\text{REG}}$ ,  $V_{\text{OUT}} = 1.5\text{V}$ .

Symbol	Parameter	Conditions	Typical (Note 4)	LM3420A-8.4 Limit (Note 5)	LM3420-8.4 Limit (Note 5)	Units (Limits)
$V_{\text{REG}}$	Regulation Voltage	$I_{\text{OUT}} = 1\text{ mA}$	8.4	8.442/ <b>8.484</b> 8.358/ <b>8.316</b>	8.484/ <b>8.568</b> 8.316/ <b>8.232</b>	V V(max) V(min)
	Regulation Voltage Tolerance	$I_{\text{OUT}} = 1\text{ mA}$		$\pm 0.5/\pm 1$	$\pm 1/\pm 2$	%(max)
$I_q$	Quiescent Current	$I_{\text{OUT}} = 1\text{ mA}$	85	110/ <b>115</b>	125/ <b>150</b>	$\mu\text{A}$ $\mu\text{A}(\text{max})$
$G_m$	Transconductance $\Delta I_{\text{OUT}}/\Delta V_{\text{REG}}$	$20\text{ }\mu\text{A} \leq I_{\text{OUT}} \leq 1\text{ mA}$ $V_{\text{OUT}} = 6\text{V}$	3.3	1.3/ <b>0.75</b>	1.0/ <b>0.50</b>	$\text{mA/mV}$ $\text{mA/mV}(\text{min})$
		$1\text{ mA} \leq I_{\text{OUT}} \leq 15\text{ mA}$ $V_{\text{OUT}} = 6\text{V}$	6.0	3.0/ <b>1.5</b>	2.5/ <b>1.4</b>	$\text{mA/mV}$ $\text{mA/mV}(\text{min})$

## LM3420-8.4

### Electrical Characteristics (Continued)

Specifications with standard type face are for  $T_J = 25^\circ\text{C}$ , and those with **boldface type** apply over **full Operating Temperature Range**. Unless otherwise specified,  $V(\text{IN}) = V_{\text{REG}}$ ,  $V_{\text{OUT}} = 1.5\text{V}$ .

Symbol	Parameter	Conditions	Typical (Note 4)	LM3420A-8.4 Limit (Note 5)	LM3420-8.4 Limit (Note 5)	Units (Limits)
$A_V$	Voltage Gain $\Delta V_{\text{OUT}}/\Delta V_{\text{REG}}$	$1\text{V} \leq V_{\text{OUT}} \leq V_{\text{REG}} - 1.2\text{V}$ ( <b>-1.3</b> ) $R_L = 470\Omega$ (Note 6)	1000	550/ <b>250</b>	450/ <b>200</b>	V/V V/V(min)
		$1\text{V} \leq V_{\text{OUT}} \leq V_{\text{REG}} - 1.2\text{V}$ ( <b>-1.3</b> ) $R_L = 5\text{ k}\Omega$	3500	1500/ <b>900</b>	1000/ <b>700</b>	V/V V/V(min)
$V_{\text{SAT}}$	Output Saturation (Note 7)	$V(\text{IN}) = V_{\text{REG}} + 100\text{ mV}$ $I_{\text{OUT}} = 15\text{ mA}$	1.0	1.2/ <b>1.3</b>	1.2/ <b>1.3</b>	V V(max)
$I_L$	Output Leakage Current	$V(\text{IN}) = V_{\text{REG}} - 100\text{ mV}$ $V_{\text{OUT}} = 0\text{V}$	0.1	0.5/ <b>1.0</b>	0.5/ <b>1.0</b>	$\mu\text{A}$ $\mu\text{A}(\text{max})$
$R_f$	Internal Feedback Resistor (Note 8)		181			$\text{k}\Omega$
				227	227	$\text{k}\Omega(\text{max})$
				135	135	$\text{k}\Omega(\text{min})$
$E_n$	Output Noise Voltage	$I_{\text{OUT}} = 1\text{ mA}$ , $10\text{ Hz} \leq f \leq 10\text{ kHz}$	140			$\mu\text{V}_{\text{RMS}}$

## LM3420-12.6

### Electrical Characteristics

Specifications with standard type face are for  $T_J = 25^\circ\text{C}$ , and those with **boldface type** apply over **full Operating Temperature Range**. Unless otherwise specified,  $V(\text{IN}) = V_{\text{REG}}$ ,  $V_{\text{OUT}} = 1.5\text{V}$ .

Symbol	Parameter	Conditions	Typical (Note 4)	LM3420A-12.6 Limit (Note 5)	LM3420-12.6 Limit (Note 5)	Units (Limits)
$V_{\text{REG}}$	Regulation Voltage	$I_{\text{OUT}} = 1\text{ mA}$	12.6			V
				12.663/ <b>12.726</b>	12.726/ <b>12.852</b>	V(max)
				12.537/ <b>12.474</b>	12.474/ <b>12.348</b>	V(min)
	Regulation Voltage Tolerance	$I_{\text{OUT}} = 1\text{ mA}$		$\pm 0.5/\pm 1$	$\pm 1/\pm 2$	%(max)
$I_q$	Quiescent Current	$I_{\text{OUT}} = 1\text{ mA}$	85	110/ <b>115</b>	125/ <b>150</b>	$\mu\text{A}$ $\mu\text{A}(\text{max})$
$G_m$	Transconductance $\Delta I_{\text{OUT}}/\Delta V_{\text{REG}}$	$20\text{ }\mu\text{A} \leq I_{\text{OUT}} \leq 1\text{ mA}$ $V_{\text{OUT}} = 10\text{V}$	3.3	1.3/ <b>0.75</b>	1.0/ <b>0.5</b>	$\text{mA}/\text{mV}$ $\text{mA}/\text{mV}(\text{min})$
		$1\text{ mA} \leq I_{\text{OUT}} \leq 15\text{ mA}$ $V_{\text{OUT}} = 10\text{V}$	6.0	3.0/ <b>1.5</b>	2.5/ <b>1.4</b>	$\text{mA}/\text{mV}$ $\text{mA}/\text{mV}(\text{min})$
$A_V$	Voltage Gain $\Delta V_{\text{OUT}}/\Delta V_{\text{REG}}$	$1\text{V} \leq V_{\text{OUT}} \leq V_{\text{REG}} - 1.2\text{V}$ ( <b>-1.3</b> ) $R_L = 750\Omega$ (Note 6)	1000	550/ <b>250</b>	450/ <b>200</b>	V/V V/V(min)
		$1\text{V} \leq V_{\text{OUT}} \leq V_{\text{REG}} - 1.2\text{V}$ ( <b>-1.3</b> ) $R_L = 10\text{ k}\Omega$	3500	1500/ <b>900</b>	1000/ <b>700</b>	V/V V/V(min)
$V_{\text{SAT}}$	Output Saturation (Note 7)	$V(\text{IN}) = V_{\text{REG}} + 100\text{ mV}$ $I_{\text{OUT}} = 15\text{ mA}$	1.0	1.2/ <b>1.3</b>	1.2/ <b>1.3</b>	V V(max)
$I_L$	Output Leakage Current	$V(\text{IN}) = V_{\text{REG}} - 100\text{ mV}$ $V_{\text{OUT}} = 0\text{V}$	0.1	0.5/ <b>1.0</b>	0.5/ <b>1.0</b>	$\mu\text{A}$ $\mu\text{A}(\text{max})$
$R_f$	Internal Feedback Resistor (Note 8)		287			$\text{k}\Omega$
				359	359	$\text{k}\Omega(\text{max})$
				215	215	$\text{k}\Omega(\text{min})$
$E_n$	Output Noise Voltage	$I_{\text{OUT}} = 1\text{ mA}$ , $10\text{ Hz} \leq f \leq 10\text{ kHz}$	210			$\mu\text{V}_{\text{RMS}}$

## LM3420-16.8 Electrical Characteristics

Specifications with standard type face are for  $T_J = 25^\circ\text{C}$ , and those with **boldface type** apply over **full Operating Temperature Range**. Unless otherwise specified,  $V(\text{IN}) = V_{\text{REG}}$ ,  $V_{\text{OUT}} = 1.5\text{V}$ .

Symbol	Parameter	Conditions	Typical (Note 4)	LM3420A-16.8 Limit (Note 5)	LM3420-16.8 Limit (Note 5)	Units (Limits)
$V_{\text{REG}}$	Regulation Voltage	$I_{\text{OUT}} = 1\text{ mA}$	16.8	16.884/ <b>16.968</b> 16.716/ <b>16.632</b>	16.968/ <b>17.136</b> 16.632/ <b>16.464</b>	V V(max) V(min)
	Regulation Voltage Tolerance	$I_{\text{OUT}} = 1\text{ mA}$		$\pm 0.5/\pm 1$	$\pm 1/\pm 2$	%(max)
$I_q$	Quiescent Current	$I_{\text{OUT}} = 1\text{ mA}$	85	110/ <b>115</b>	125/ <b>150</b>	$\mu\text{A}$ $\mu\text{A}(\text{max})$
$G_m$	Transconductance $\Delta I_{\text{OUT}}/\Delta V_{\text{REG}}$	$20\text{ }\mu\text{A} \leq I_{\text{OUT}} \leq 1\text{ mA}$ $V_{\text{OUT}} = 15\text{V}$	3.3	0.8/ <b>0.4</b>	0.7/ <b>0.35</b>	$\text{mA}/\text{mV}$ $\text{mA}/\text{mV}(\text{min})$
		$1\text{ mA} \leq I_{\text{OUT}} \leq 15\text{ mA}$ $V_{\text{OUT}} = 15\text{V}$	6.0	2.9/ <b>0.9</b>	2.5/ <b>0.75</b>	$\text{mA}/\text{mV}$ $\text{mA}/\text{mV}(\text{min})$
$A_V$	Voltage Gain $\Delta V_{\text{OUT}}/\Delta V_{\text{REG}}$	$1\text{V} \leq V_{\text{OUT}} \leq V_{\text{REG}} - 1.2\text{V}$ ( <b>-1.3</b> ) $R_L = 1\text{ k}\Omega$ (Note 6)	1000	550/ <b>250</b>	450/ <b>200</b>	V/V V/V(min)
		$1\text{V} \leq V_{\text{OUT}} \leq V_{\text{REG}} - 1.2\text{V}$ ( <b>-1.3</b> ) $R_L = 15\text{ k}\Omega$	3500	1200/ <b>750</b>	1000/ <b>650</b>	V/V V/V(min)
$V_{\text{SAT}}$	Output Saturation (Note 7)	$V(\text{IN}) = V_{\text{REG}} + 100\text{ mV}$ $I_{\text{OUT}} = 15\text{ mA}$	1.0	1.2/ <b>1.3</b>	1.2/ <b>1.3</b>	V V(max)
$I_L$	Output Leakage Current	$V(\text{IN}) = V_{\text{REG}} - 100\text{ mV}$ $V_{\text{OUT}} = 0\text{V}$	0.1	0.5/ <b>1.0</b>	0.5/ <b>1.0</b>	$\mu\text{A}$ $\mu\text{A}(\text{max})$
$R_f$	Internal Feedback Resistor (Note 8)		392	490	490	$\text{k}\Omega$ $\text{k}\Omega(\text{max})$
				294	294	$\text{k}\Omega(\text{min})$
$E_n$	Output Noise Voltage	$I_{\text{OUT}} = 1\text{ mA}$ , $10\text{ Hz} \leq f \leq 10\text{ kHz}$	280			$\mu\text{V}_{\text{RMS}}$

**Note 1:** Absolute Maximum Ratings indicate limits beyond which damage to the device may occur. Operating Ratings indicate conditions for which the device is intended to be functional, but do not guarantee specific performance limits. For guaranteed specifications and test conditions, see the Electrical Characteristics. The guaranteed specifications apply only for the test conditions listed. Some performance characteristics may degrade when the device is not operated under the listed test conditions.

**Note 2:** The maximum power dissipation must be derated at elevated temperatures and is dictated by  $T_{J\text{max}}$  (maximum junction temperature),  $\theta_{JA}$  (junction to ambient thermal resistance), and  $T_A$  (ambient temperature). The maximum allowable power dissipation at any temperature is  $P_{D\text{max}} = (T_{J\text{max}} - T_A)/\theta_{JA}$  or the number given in the Absolute Maximum Ratings, whichever is lower. The typical thermal resistance ( $\theta_{JA}$ ) when soldered to a printed circuit board is approximately  $306^\circ\text{C}/\text{W}$  for the M5 package.

**Note 3:** The human body model is a  $100\text{ pF}$  capacitor discharged through a  $1.5\text{ k}\Omega$  resistor into each pin.

**Note 4:** Typical numbers are at  $25^\circ\text{C}$  and represent the most likely parametric norm.

**Note 5:** Limits are 100% production tested at  $25^\circ\text{C}$ . Limits over the operating temperature range are guaranteed through correlation using Statistical Quality Control (SQC) methods. The limits are used to calculate National's Averaging Outgoing Quality Level (AOQL).

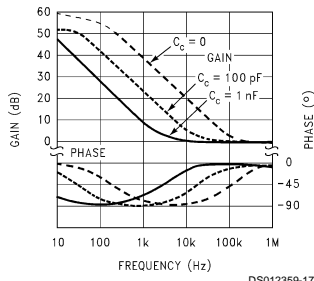
**Note 6:** Actual test is done using equivalent current sink instead of a resistor load.

**Note 7:**  $V_{\text{SAT}} = V(\text{IN}) - V_{\text{OUT}}$ , when the voltage at the IN pin is forced  $100\text{ mV}$  above the nominal regulating voltage ( $V_{\text{REG}}$ ).

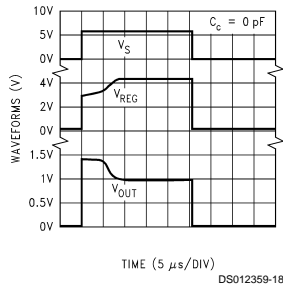
**Note 8:** See Applications and Typical Performance Characteristics sections for information on this resistor.

## Typical Performance Characteristics

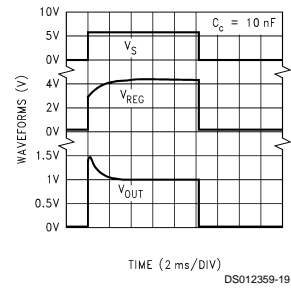
### 4.2V Bode Plot



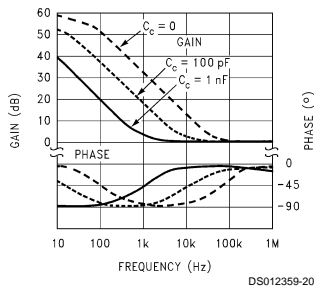
### Response Time for 4.2V Version



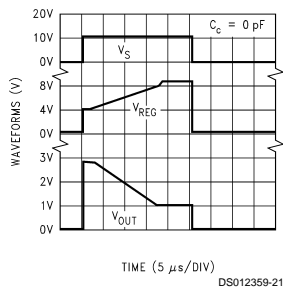
### Response Time for 4.2V Version



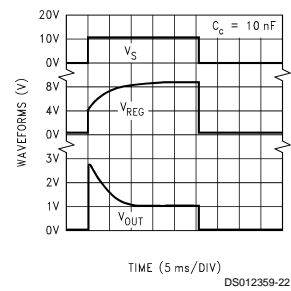
### 8.2V and 8.4V Bode Plot



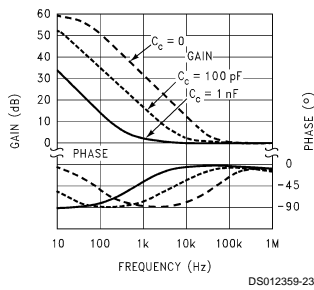
### Response Time for 8.2V, 8.4V Versions



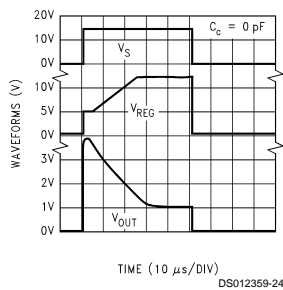
### Response Time for 8.2V, 8.4V Versions



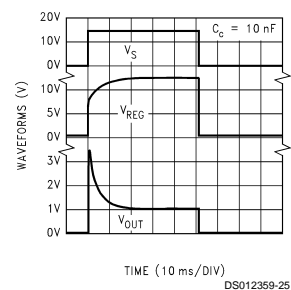
### 12.6V Bode Plot



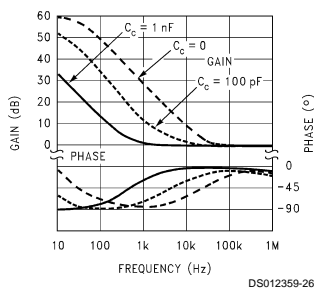
### Response Time for 12.6V Version



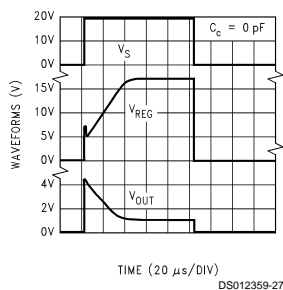
### Response Time for 12.6V Version



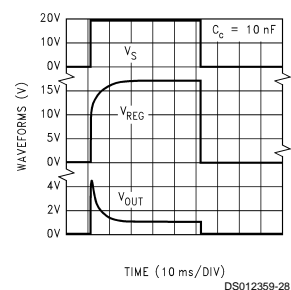
### 16.8V Bode Plot



### Response Time for 16.8V Version

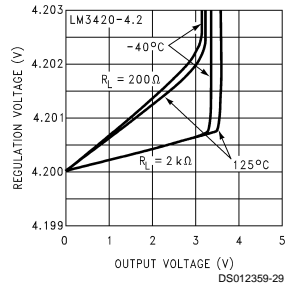


### Response Time for 16.8V Version

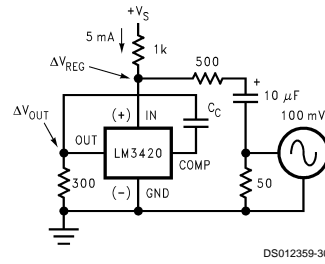


## Typical Performance Characteristics (Continued)

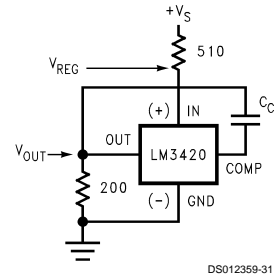
**Regulation Voltage vs Output Voltage and Load Resistance**



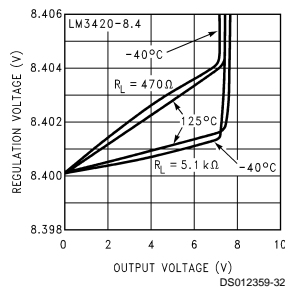
**Circuit Used for Bode Plots**



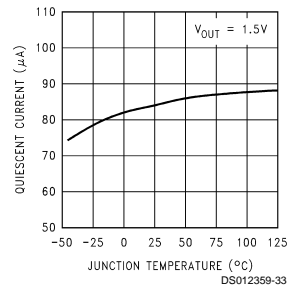
**Circuit Used for Response Time**



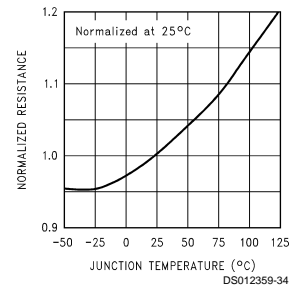
**Regulation Voltage vs Output Voltage and Load Resistance**



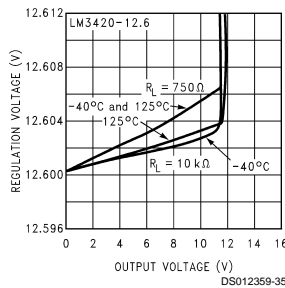
**Quiescent Current**



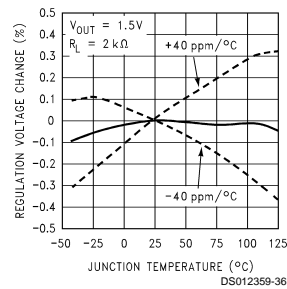
**Internal Feedback Resistor ( $R_f$ ) Tempco**



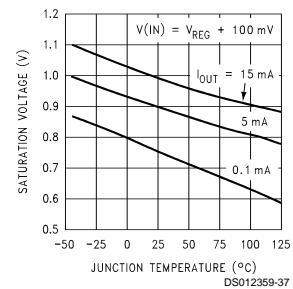
**Regulation Voltage vs Output Voltage and Load Resistance**



**Normalized Temperature Drift**



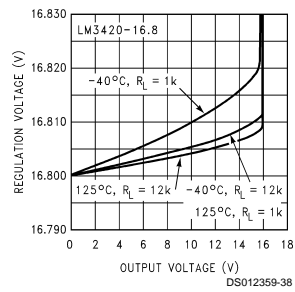
**Output Saturation Voltage ( $V_{SAT}$ )**





## Typical Performance Characteristics (Continued)

**Regulation Voltage vs  
Output Voltage and  
Load Resistance**



## Five Lead Surface Mount Package Information

The small SOT23-5 package allows only 4 alphanumeric characters to identify the product. The table below contains the field information marked on the package.

Voltage	Grade	Order Information	Package Marking	Supplied as
4.2V	A (Prime)	LM3420AM5-4.2	D02A	250 unit increments on tape and reel
4.2V	A (Prime)	LM3420AM5X-4.2	D02A	3k unit increments on tape and reel
4.2V	B (Standard)	LM3420M5-4.2	D02B	250 unit increments on tape and reel
4.2V	B (Standard)	LM3420M5X-4.2	D02B	3k unit increments on tape and reel
8.2V	A (Prime)	LM3420AM5-8.2	D07A	250 unit increments on tape and reel
8.2V	A (Prime)	LM3420AM5X-8.2	D07A	3k unit increments on tape and reel
8.2V	B (Standard)	LM3420M5-8.2	D07B	250 unit increments on tape and reel
8.2V	B (Standard)	LM3420M5X-8.2	D07B	3k unit increments on tape and reel
8.4V	A (Prime)	LM3420AM5-8.4	D03A	250 unit increments on tape and reel
8.4V	A (Prime)	LM3420AM5X-8.4	D03A	3k unit increments on tape and reel
8.4V	B (Standard)	LM3420M5-8.4	D03B	250 unit increments on tape and reel
8.4V	B (Standard)	LM3420M5X-8.4	D03B	3k unit increments on tape and reel
12.6V	A (Prime)	LM3420AM5-12.6	D04A	250 unit increments on tape and reel
12.6V	A (Prime)	LM3420AM5X-12.6	D04A	3k unit increments on tape and reel
12.6V	B (Standard)	LM3420M5-12.6	D04B	250 unit increments on tape and reel
12.6V	B (Standard)	LM3420M5X-12.6	D04B	3k unit increments on tape and reel
16.8V	A (Prime)	LM3420AM5-16.8	D05A	250 unit increments on tape and reel
16.8V	A (Prime)	LM3420AM5X-16.8	D05A	3k unit increments on tape and reel
16.8V	B (Standard)	LM3420M5-16.8	D05B	250 unit increments on tape and reel
16.8V	B (Standard)	LM3420M5X-16.8	D05B	3k unit increments on tape and reel

FIGURE 1. SOT23-5 Marking

The first letter "D" identifies the part as a Driver, the next two numbers indicate the voltage, "02" for a 4.2V part, "07" for an 8.2V part, "03" for an 8.4V part, "04" for a 12.6V part, and "05" for a 16.8V part. The fourth letter indicates the grade, "B" for standard grade, "A" for the prime grade.

The SOT23-5 surface mount package is only available on tape in quantity increments of 250 on tape and reel (indicated by the letters "M5" in the part number), or in quantity increments of 3000 on tape and reel (indicated by the letters "M5X" in the part number).

## Product Description

The LM3420 is a shunt regulator specifically designed to be the reference and control section in an overall feedback loop of a Lithium-Ion battery charger. The regulated output voltage is sensed between the IN pin and GROUND pin of the LM3420. If the voltage at the IN pin is less than the LM3420 regulating voltage ( $V_{REG}$ ), the OUT pin sources no current. As the voltage at the IN pin approaches the  $V_{REG}$  voltage, the OUT pin begins sourcing current. This current is then used to drive a feedback device (opto-coupler), or a power device (linear regulator, switching regulator, etc.), which serves the output voltage to be the same value as  $V_{REG}$ .

In some applications, (even under normal operating conditions) the voltage on the IN pin can be forced above the  $V_{REG}$  voltage. In these instances, the maximum voltage applied to the IN pin should not exceed 20V. In addition, an external resistor may be required on the OUT pin to limit the maximum current to 20 mA.

## Compensation

The inverting input of the error amplifier is brought out to allow overall closed-loop compensation. In many of the applications circuits shown here, compensation is provided by a

single capacitor ( $C_C$ ) connected from the compensation pin to the out pin of the LM3420. The capacitor values shown in the schematics are adequate under most conditions, but they can be increased or decreased depending on the desired loop response. Applying a load pulse to the output of a regulator circuit and observing the resultant output voltage response is an easy method of determining the stability of the control loop.

Analyzing more complex feedback loops requires additional information.

The formula for AC gain at a frequency ( $f$ ) is as follows;

$$\text{Gain}(f) = 1 + \frac{Z_f(f)}{R_f}$$

$$\text{where } Z_f(f) = \frac{1}{j \cdot 2\pi \cdot f \cdot C_C}$$

where  $R_f \approx 75 \text{ k}\Omega$  for the 4.2V part,  $R_f \approx 181 \text{ k}\Omega$  for the 8.4V part,  $R_f \approx 287 \text{ k}\Omega$  for the 12.6V part, and  $R_f \approx 392 \text{ k}\Omega$  for the 16.8V part.

The resistor ( $R_f$ ) in the formula is an internal resistor located on the die. Since this resistor value will affect the phase margin, the worst case maximum and minimum values are im-

## Compensation (Continued)

portant when analyzing closed loop stability. The minimum and maximum room temperature values of this resistor are specified in the Electrical Characteristics section of this data sheet, and a curve showing the temperature coefficient is shown in the curves section. Minimum values of  $R_f$  result in lower phase margins.

## Test Circuit

The test circuit shown in Figure 2 can be used to measure and verify various LM3420 parameters. Test conditions are set by forcing the appropriate voltage at the  $V_{OUT}$  Set test point and selecting the appropriate  $R_L$  or  $I_{OUT}$  as specified in the Electrical Characteristics section. Use a DVM at the "measure" test points to read the data.

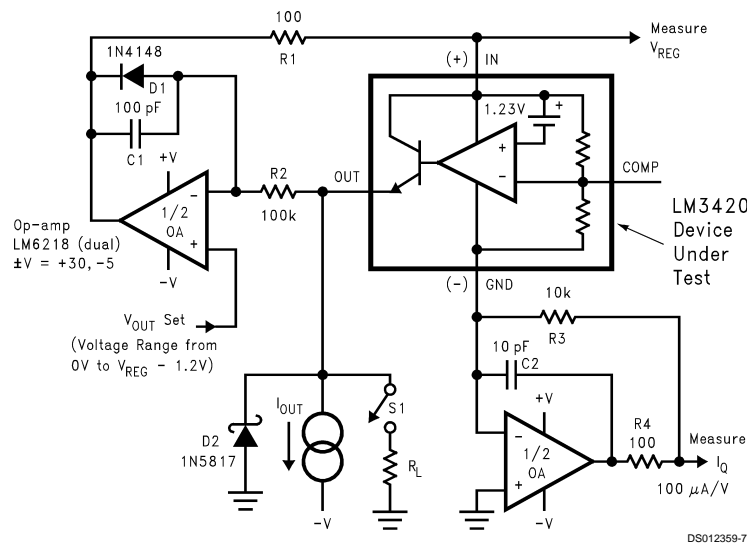
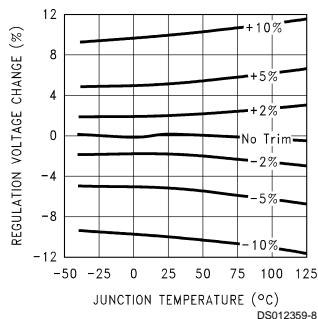


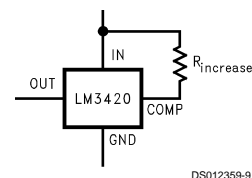
FIGURE 2. LM3420 Test Circuit

## $V_{REG}$ External Voltage Trim

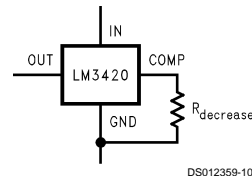
The regulation voltage ( $V_{REG}$ ) of the LM3420 can be externally trimmed by adding a single resistor from the COMP pin to the +IN pin or from the COMP pin to the GND pin, depending on the desired trim direction. Trim adjustments up to  $\pm 10\%$  of  $V_{REG}$  can be realized, with only a small increase in the temperature coefficient. (See temperature coefficient curve shown in Figure 3 below.)



Normalized Temperature Drift with Output Externally Trimmed



Increasing  $V_{REG}$



Decreasing  $V_{REG}$

FIGURE 4. Changing  $V_{REG}$

Formulas for selecting trim resistor values are shown below, based on the percent of increase (%incr) or percent of decrease (%decr) of the output voltage from the nominal voltage.

For LM3420-4.2

$$R_{\text{increase}} = 22 \times 10^5 / \% \text{incr}$$

$$R_{\text{decrease}} = (53 \times 10^5 / \% \text{decr}) - 75 \times 10^3$$

For LM3420-8.2

$$R_{\text{increase}} = 26 \times 10^5 / \% \text{incr}$$
$$R_{\text{decrease}} = (150 \times 10^5 / \% \text{decr}) - 176 \times 10^3$$

**For LM3420-8.4**

$$R_{\text{increase}} = 26 \times 10^5 / \% \text{incr}$$
$$R_{\text{decrease}} = (154 \times 10^5 / \% \text{decr}) - 181 \times 10^3$$

For LM3420-12.6

$$R_{\text{increase}} = 28 \times 10^5 / \% \text{incr}$$

$$R_{\text{decrease}} = (259 \times 10^5 / \% \text{decr}) - 287 \times 10^3$$

For LM3420-16.8

$$R_{\text{increase}} = 29 \times 10^5 / \% \text{incr}$$
$$R_{\text{decrease}} = (364 \times 10^5 / \% \text{decr}) - 392 \times 10^3$$

## Application Information

The LM3420 regulator/driver provides the reference and feedback drive functions for a Lithium-Ion battery charger. It can be used in many different charger configurations using both linear and switching topologies to provide the precision needed for charging Lithium-Ion batteries safely and efficiently. Output voltage tolerances better than 0.5% are possible without using trim pots or precision resistors. The cir-

cuits shown are designed for 2 cell operation, but they can readily be changed for either 1, 3 or 4 cell charging applications.

One item to keep in mind when designing with the LM3420 is that there are parasitic diodes present. In some designs, under special electrical conditions, unwanted currents may flow. Parasitic diodes exist from OUT to IN, as well as from GROUND to IN. In both instances the diode arrow is pointed toward the IN pin.

## Application Circuits

The circuit shown in *Figure 5* performs constant-current, constant-voltage charging of two Li-Ion cells. At the beginning of the charge cycle, when the battery voltage is less than 8.4V, the LM3420 sources no current from the OUT pin, keeping Q2 off, thus allowing the LM317 Adjustable voltage regulator to operate as a constant-current source. (The LM317 is rated for currents up to 1.5A, and the LM350 and LM338 can be used for higher currents.) The LM317 forces a constant 1.25V across  $R_{LIM}$ , thus generating a constant current of

$$I_{LIM} = 1.25V/R_{LIM}$$

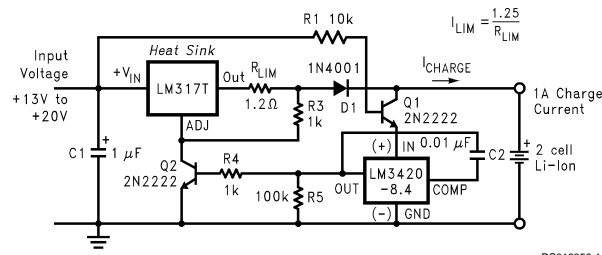
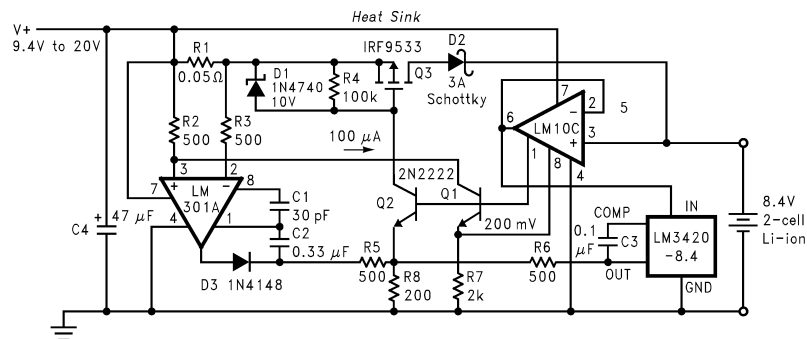


FIGURE 5. Constant Current/Constant Voltage Li-Ion Battery Charger



**FIGURE 6. Low Drop-Out Constant Current/Constant Voltage 2-Cell Charger**

Transistor Q1 provides a disconnect between the battery and the LM3420 when the input voltage is removed. This prevents the 85  $\mu\text{A}$  quiescent current of the LM3420 from eventually discharging the battery. In this application Q1 is used as a low offset saturated switch, with the majority of the base drive current flowing through the collector and crossing over to the emitter as the battery becomes fully charged. It provides a very low collector to emitter saturation voltage

(approximately 5 mV). Diode D1 is also used to prevent the battery current from flowing through the LM317 regulator from the output to the input when the DC input voltage is removed.

As the battery charges, its voltage begins to rise, and is sensed at the IN pin of the LM3420. Once the battery voltage reaches 8.4V, the LM3420 begins to regulate and starts sourcing current to the base of Q2. Transistor Q2 begins

## Application Circuits (Continued)

controlling the ADJ. pin of the LM317 which begins to regulate the voltage across the battery and the constant voltage portion of the charging cycle starts. Once the charger is in the constant voltage mode, the charger maintains a regulated 8.4V across the battery and the charging current is dependent on the state of charge of the battery. As the cells approach a fully charged condition, the charge current falls to a very low value.

Figure 6 shows a Li-Ion battery charger that features a drop-out voltage of less than one volt. This charger is a constant-current, constant-voltage charger (it operates in constant-current mode at the beginning of the charge cycle and switches over to a constant-voltage mode near the end of the charging cycle). The circuit consists of two basic feedback loops. The first loop controls the constant charge current delivered to the battery, and the second determines the final voltage across the battery.

With a discharged battery connected to the charger, (battery voltage is less than 8.4V) the circuit begins the charge cycle with a constant charge current. The value of this current is set by using the reference section of the LM10C to force 200 mV across R7 thus causing approximately 100  $\mu$ A of emitter current to flow through Q1, and approximately 1 mA of emitter current to flow through Q2. The collector current of Q1 is also approximately 100  $\mu$ A, and this current flows through R2 developing 50 mV across it. This 50 mV is used as a reference to develop the constant charge current through the current sense resistor R1.

The constant current feedback loop operates as follows. Initially, the emitter and collector current of Q2 are both approximately 1 mA, thus providing gate drive to the MOSFET Q3, turning it on. The output of the LM301A op-amp is low. As Q3's current reaches 1A, the voltage across R1 approaches 50 mV, thus canceling the 50 mV drop across R2, and causing the op-amp's output to start going positive, and begin sourcing current into R8. As more current is forced into R8 from the op-amp, the collector current of Q2 is reduced by the same amount, which decreases the gate drive to Q3, to maintain a constant 50 mV across the 0.05 $\Omega$  current sensing resistor, thus maintaining a constant 1A of charge current.

The current limit loop is stabilized by compensating the LM301A with C1 (the standard frequency compensation used with this op-amp) and C2, which is additional compensation needed when D3 is forward biased. This helps speed up the response time during the reverse bias of D3. When the LM301A output is low, diode D3 reverse biases and prevents the op-amp from pulling more current through the emitter of Q2. This is important when the battery voltage reaches 8.4V, and the 1A charge current is no longer needed. Resistor R5 isolates the LM301A feedback node at the emitter of Q2.

The battery voltage is sensed and buffered by the op-amp section of the LM10C, connected as a voltage follower driving the LM3420. When the battery voltage reaches 8.4V, the LM3420 will begin regulating by sourcing current into R8, which controls the collector current of Q2, which in turn reduces the gate voltage of Q3 and becomes a constant voltage regulator for charging the battery. Resistor R6 isolates the LM3420 from the common feedback node at the emitter of Q2. If R5 and R6 are omitted, oscillations could occur during the transition from the constant-current to the constant-voltage mode. D2 and the PNP transistor input

stage of the LM10C will disconnect the battery from the charger circuit when the input supply voltage is removed to prevent the battery from discharging.

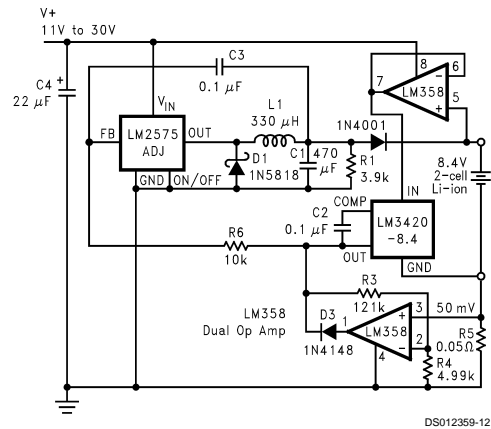


FIGURE 7. High Efficiency Switching Regulator Constant Current/Constant Voltage 2-Cell Charger

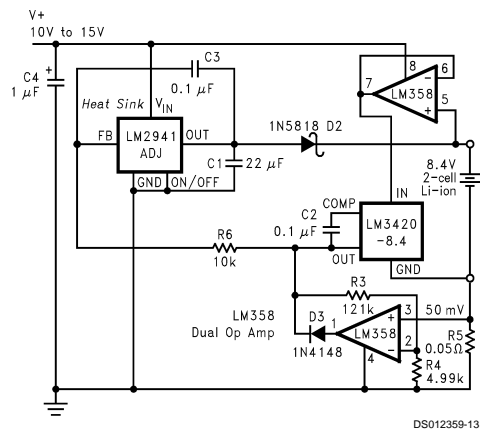


FIGURE 8. Low Dropout Constant Current/Constant Voltage Li-Ion Battery Charger

A switching regulator, constant-current, constant-voltage two-cell Li-Ion battery charging circuit is shown in Figure 7. This circuit provides much better efficiency, especially over a wide input voltage range than the linear topologies. For a 1A charger an LM2575-ADJ. switching regulator IC is used in a standard buck topology. For other currents, or other packages, other members of the SIMPLE SWITCHER™ buck regulator family may be used.

Circuit operation is as follows. With a discharged battery connected to the charger, the circuit operates as a constant current source. The constant-current portion of the charger is formed by the loop consisting of one half of the LM358 op amp along with gain setting resistors R3 and R4, current sensing resistor R5, and the feedback reference voltage of 1.23V. Initially the LM358's output is low causing the output of the LM2575-ADJ. to rise thus causing some charging current to flow into the battery. When the current reaches 1A, it is sensed by resistor R5 (50 m $\Omega$ ), and produces 50 mV. This 50 mV is amplified by the op-amp's gain of 25 to produce

## Application Circuits (Continued)

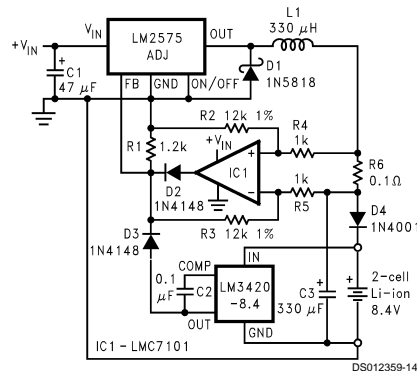
1.23V, which is applied to the feedback pin of the LM2575-ADJ. to satisfy the feedback loop.

Once the battery voltage reaches 8.4V, the LM3420 takes over and begins to control the feedback pin of the LM2575-ADJ. The LM3420 now regulates the voltage across the battery, and the charger becomes a constant-voltage charger. Loop compensation network R6 and C3 ensure stable operation of the charger circuit under both constant-current and constant-voltage conditions. If the input supply voltage is removed, diode D2 and the PNP input stage of the LM358 become reversed biased and disconnects the battery to ensure that the battery is not discharged. Diode D3 reverse biases to prevent the op-amp from sinking current when the charger changes to constant voltage mode.

The minimum supply voltage for this charger is approximately 11V, and the maximum is around 30V (limited by the 32V maximum operating voltage of the LM358). If another op-amp is substituted for the LM358, make sure that the input common-mode range of the op-amp extends down to ground so that it can accurately sense 50 mV. R1 is included to provide a minimum load for the switching regulator to assure that switch leakage current will not cause the output to rise when the battery is removed.

The circuit in *Figure 8* is very similar to *Figure 7*, except the switching regulator has been replaced with a low dropout linear regulator, allowing the input voltage to be as low as 10V. The constant current and constant voltage control loops are the same as the previous circuit. Diode D2 has been changed to a Schottky diode to provide a reduction in the overall dropout voltage of this circuit, but Schottky diodes typically have higher leakage currents than a standard silicon diode. This leakage current could discharge the battery if the input voltage is removed for an extended period of time.

Another variation of a constant current/constant voltage switch mode charger is shown in *Figure 9*. The basic feedback loops for current and voltage are similar to the previous circuits. This circuit has the current sensing resistor, for the constant current part of the feedback loop, on the positive side of the battery, thus allowing a common ground between the input supply and the battery. Also, the LMC7101 op-amp is available in a very small SOT23-5 package thus allowing a very compact pc board design. Diode D4 prevents the battery from discharging through the charger circuitry if the input voltage is removed, although the quiescent current of the LM3420 will still be present (approximately 85  $\mu$ A).



**FIGURE 9. High Efficiency Switching Charger with High Side Current Sensing**

## Application Circuits (Continued)

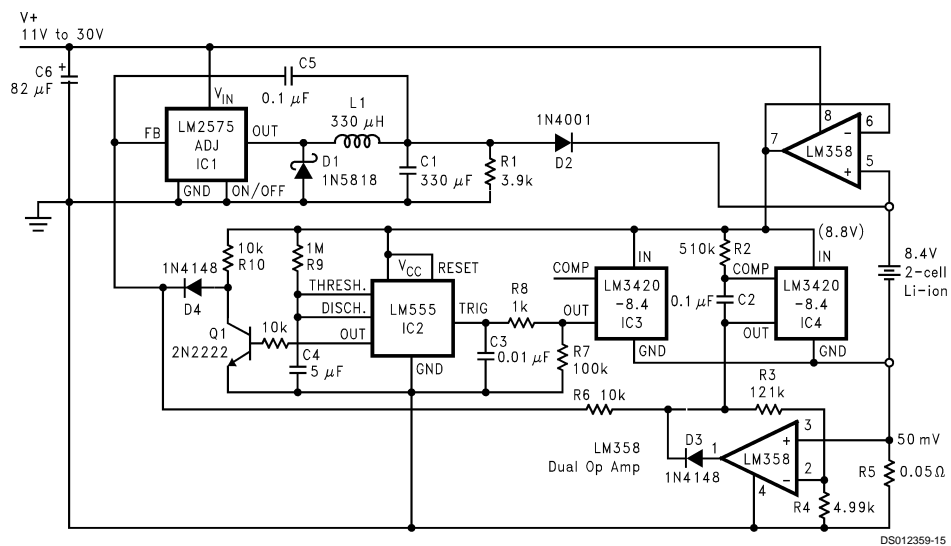


FIGURE 10. (Fast) Pulsed Constant Current 2-Cell Charger

## Application Circuits (Continued)

A rapid charge Lithium-Ion battery charging circuit is shown in *Figure 10*. This configuration uses a switching regulator to deliver the charging current in a series of constant current pulses. At the beginning of the charge cycle (constant-current mode), this circuit performs identically to the previous LM2575 charger by charging the battery at a constant current of 1A. As the battery voltage reaches 8.4V, this charger changes from a constant continuous current of 1A to a 5 second pulsed 1A. This allows the total battery charge time to be reduced considerably. This is different from the other charging circuits that switch from a constant current charge to a constant voltage charge once the battery voltage reaches 8.4V. After charging the battery with 1A for 5 seconds, the charge stops, and the battery voltage begins to drop. When it drops below 8.4V, the LM555 timer again starts the timing cycle and charges the battery with 1A for another 5 seconds. This cycling continues with a constant 5 second charge time, and a variable off time. In this manner, the battery will be charged with 1A for 5 seconds, followed by an off period (determined by the battery's state of charge), setting up a periodic 1A charge current. The off time is determined by how long it takes the battery voltage to decrease back down to 8.4V. When the battery first reaches 8.4V, the

off time will be very short (1 ms or less), but when the battery approaches full charge, the off time will begin increasing to tens of seconds, then minutes, and eventually hours.

The constant-current loop for this charger and the method used for programming the 1A constant current is identical to the previous LM2575-ADJ. charger. In this circuit, a second LM3420-8.4 has its  $V_{REG}$  increased by approximately 400 mV (via R2), and is used to limit the output voltage of the charger to 8.8V in the event of a bad battery connection, or the battery is removed or possibly damaged.

The LM555 timer is connected as a one-shot, and is used to provide the 5 second charging pulses. As long as the battery voltage is less than the 8.4V, the output of IC3 will be held low, and the LM555 one-shot will never fire (the output of the LM555 will be held high) and the one-shot will have no effect on the charger. Once the battery voltage exceeds the 8.4V regulation voltage of IC3, the trigger pin of the LM555 is pulled high, enabling the one shot to begin timing. The charge current will now be pulsed into the battery at a 5 second rate, with the off time determined by the battery's state of charge. The LM555 output will go high for 5 seconds (pulling down the collector of Q1) which allows the 1A constant-current loop to control the circuit.

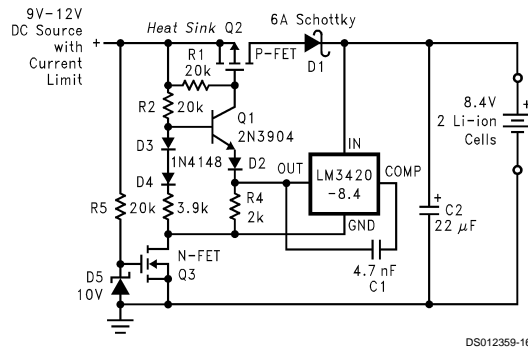


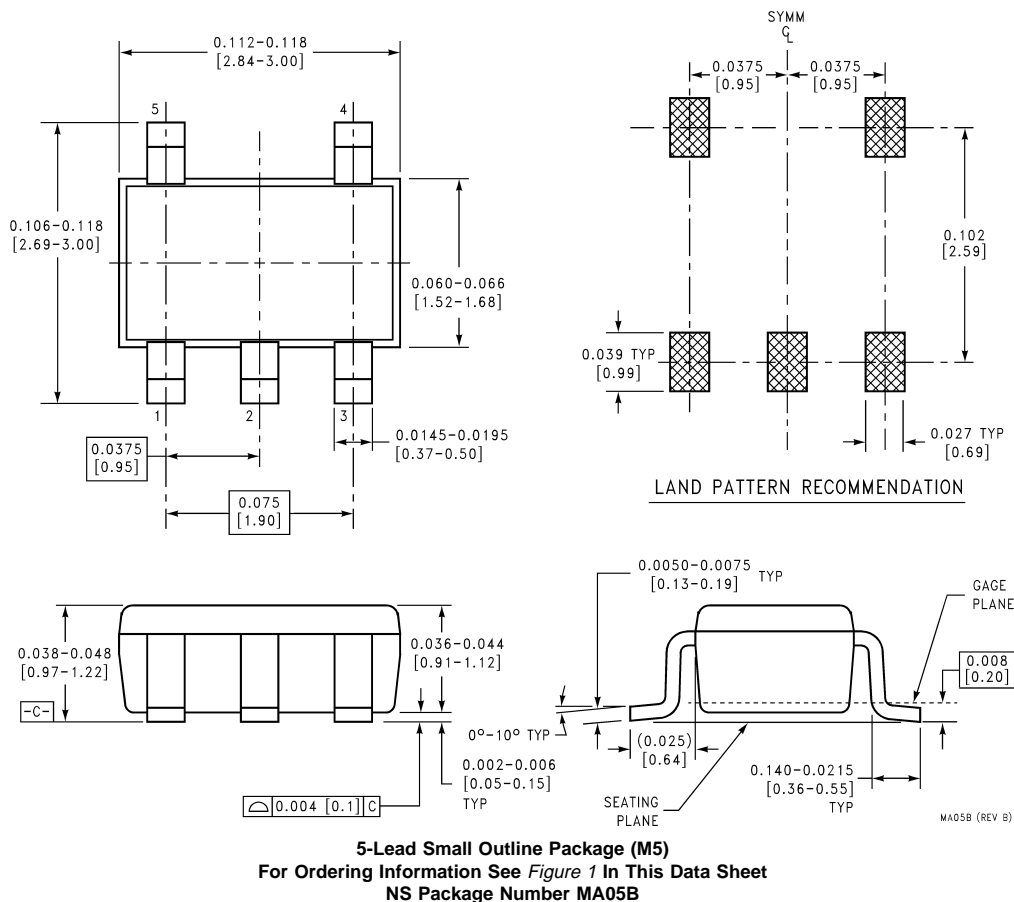
FIGURE 11. MOSFET Low Dropout Charger

*Figure 11* shows a low dropout constant voltage charger using a MOSFET as the pass element, but this circuit does not include current limiting. This circuit uses Q3 and a Schottky diode to isolate the battery from the charging circuitry when the input voltage is removed, to prevent the battery from discharging. Q2 should be a high current (0.2Ω) FET, while Q3 can be a low current (2Ω) device.

**Note:** Although the application circuits shown here have been built and tested, they should be thoroughly evaluated with the same type of battery the charger will eventually be used with.

Different battery manufacturers may use a slightly different battery chemistry which may require different charging characteristics. Always consult the battery manufacturer for information on charging specifications and battery details, and always observe the manufacturers precautions when using their batteries. Avoid overcharging or shorting Lithium-Ion batteries.



**Physical Dimensions** inches (millimeters) unless otherwise noted

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